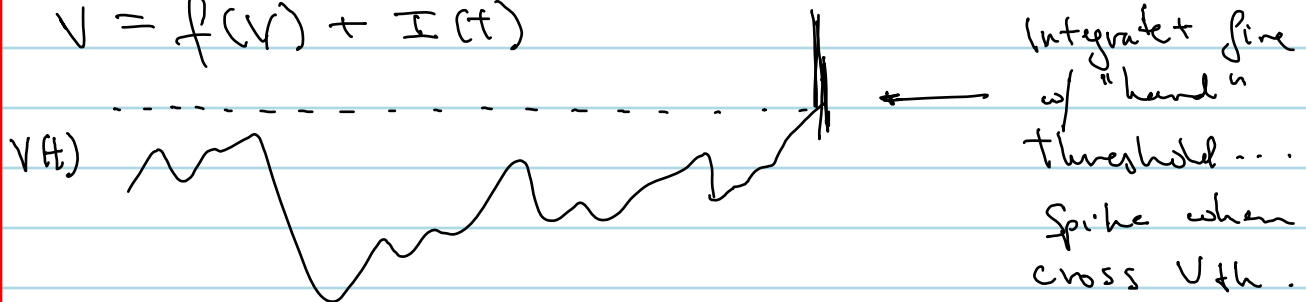


# "ESCAPE NOISE" MODELS OF NEURAL FIRING AND GENERALIZED LINEAR MODELS.

Start with... 1-D model for voltage

$$\dot{V} = f(V) + I(t)$$



Try this experimentally...  
Fig 9.3 Gerstner et al text.

See... tiny black histogram... is range  
↑  
almost invisible in plot  
of  $V$  values at timestep before measured  
spike.

FACT! This small histogram is spread out.

→

Do not have single  $V_{th}$ .

Instead... define:  $f(V - V_{th}) = \text{Pr}(\text{fire} | V)$

↑  
loosely, spike "at end of"  
that timestep.

Deriving the "escape rate" of firing from data,  
Gerstner et al Fig. 9.3

Plot in grey + (tiny) black histograms:

$$\begin{array}{ll}
 p(v | \text{fire}) \cdot p(\text{fire}) & \text{BLACK} \\
 p(v | \text{no fire}) \cdot p(\text{no fire}) & \text{GREY}
 \end{array}
 \left. \vphantom{\begin{array}{l} p(v | \text{fire}) \cdot p(\text{fire}) \\ p(v | \text{no fire}) \cdot p(\text{no fire}) \end{array}} \right\} \text{for same } \Delta t \text{ from } v \text{ measurement.}$$

WANT: 
$$p(\text{fire} | v) = \frac{p(v | \text{fire}) \cdot p(\text{fire})}{p(v)}$$

Now: 
$$p(v) = p(v | \text{fire}) p(\text{fire}) + p(v | \text{no fire}) p(\text{no fire})$$

So... 
$$p(\text{fire} | v) = \frac{\text{BLACK}(v)}{\text{BLACK}(v) + \text{GREY}(v)}$$

See... 
$$f(v - v_{th}) \approx \frac{1}{\tau_0} \exp(-\beta(v - v_{th}))$$

"Soft threshold"

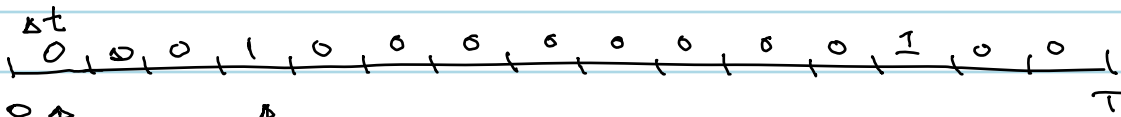
Recover hard threshold as  $\beta \rightarrow \infty$ .

Say: spiking is a point process with intensity  
 $f(v(t) - v_{th})$ , i.e.  $\text{Pr}(\text{spike} \in (t, t + dt])$   
 $\approx f(v(t) - v_{th}) dt$   
 $\equiv \rho(t) dt$ , in small  $dt$  limit.

- Further work relating I+F and point process in text: Arrhenius approximation to S.D.E., Plesser (06?)

• IN FUTURE ADD MORE HERE !!! •

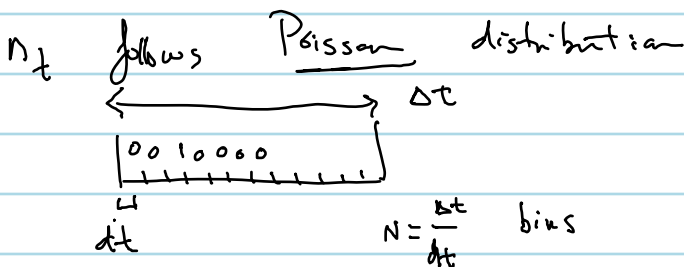
Temporal  
 SPIKE TRAIN: Sequence of 0's and 1's. Call this object  $\mathcal{D}$  (for data)



Prob $\approx$   $[1 - p(t, \Delta t)]$   $p(t_k) \cdot \Delta t$   
 HAVE A SPIKE / DO NOT HAVE A SPIKE

$\Rightarrow$  DEFINE: Likelihood of a spike train (Sec. 9.2)

• Define # spikes in each bin =  $n_t$



$$p(n_t) = \binom{N}{n_t} [dt \cdot p(t)]^{n_t} [1 - p(t) \cdot dt]^{(N - n_t)}$$

(Binomial distribution)

$$= e^{-p(t) \Delta t} \cdot \frac{(p(t) \Delta t)^{n_t}}{n_t!} \quad \text{in lim } dt \rightarrow 0$$

In particular,

$$\begin{cases} p(n_t = 0) = e^{-p(t) \Delta t} \\ p(n_t = 1) = e^{-p(t) \Delta t} \cdot p(t) \Delta t \end{cases}$$

And, for future use:

$$\log p(n_t) = -p(t) \Delta t + n_t \log(p(t) \cdot \Delta t) - \log(n_t!)$$

Next, given  $p(t)$ ,

∴ Likelihood of whole spike train =  $P(D|p(t)) = \prod_t p(n_t)$

$$\text{Log-Likelihood} = \log P(D|p(t)) = \sum_t \log p(n_t)$$

$$= - \sum_t p(t) \Delta t + n_t \log p(t) + \underbrace{n_t \log \Delta t - \log(n_t!)}_{\text{INDEP. OF } p(t)}$$

$$= - \sum_t p(t) \Delta t + n_t \log p(t) + c$$

In limit  $\Delta t \rightarrow 0$ ,

$$\log P(D|p(t)) = - \int_0^T p(t) dt + \sum_t n_t \log p(t) + c$$

$n_t = 1$  for  $t \in \{t_j\}$ ,  
set of spike times

$n_t = 0$   $t \notin \{t_j\}$

$$\log P(D|p(t)) = - \int_0^T p(t) dt + \sum_j \log p(t_j) + c$$

(10.22)

# PARAMETER ESTIMATION FOR SPIKING MODELS

Goal: Given  $\mathcal{D}$ , and form of  $p(t)$  that depends on parameter list  $\theta$  of spiking model.

E.g.  $p(t) = f(V(t) - V_{th})$ , above

↑  
depends on  $\theta$  via ODE solution

$$\text{then } p(\mathcal{D} | p(t)) = p(\mathcal{D} | \theta)$$

Find most likely parameters  $\theta$ , i.e.

$$\underset{\theta}{\operatorname{argmax}} p(\theta | \mathcal{D}) = \underset{\theta}{\operatorname{argmax}} \frac{p(\mathcal{D} | \theta) \cdot p(\theta)}{p(\mathcal{D})} \quad \text{Bayes Rule}$$

$$= \underset{\theta}{\operatorname{argmax}} p(\mathcal{D} | \theta) \cdot p(\theta)$$

For "Flat prior case:  $p(\theta) = \text{const.}$ "

$$= \underset{\theta}{\operatorname{argmax}} p(\mathcal{D} | \theta)$$

For later:

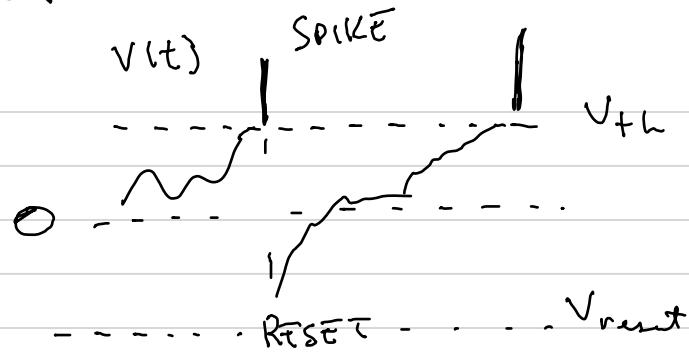
$$= \underset{\theta}{\operatorname{argmax}} \log p(\mathcal{D} | \theta)$$

# PARAMETERIZING SPIKING NEURON MODELS VIA $\sigma$

(6.4) Gerstner et al text: SPIKE RESPONSE MODEL (SRM)

Think...

I+F Model  $\tau \dot{V} = -V + I(t)$



Before spike, sol<sup>n</sup>  $V(t) = \int_0^{\text{Time to last spike}} e^{-s/\tau} I(t-s) ds$ , together with:

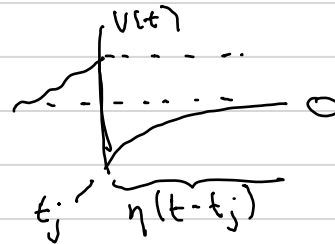
After spike:  $V(t) \rightarrow V(t) - (V_{th} - V_{reset})$ , and decay  $\rightarrow 0$

SRM Captures these effects via:

$$V(t) = \int_0^{\infty} \underset{\substack{\uparrow \\ \text{general} \\ \text{filter}}}{K(s)} I(t-s) ds + \sum_{t_j} \underset{\uparrow}{\eta(t-t_j)}$$

After-spike voltage jump

For IF rule above,  
 $\eta(t-t_j) = -(V_r - V_{th}) H(t-t_j) \cdot e^{-\frac{t-t_j}{\tau}}$   
 (Heaviside)



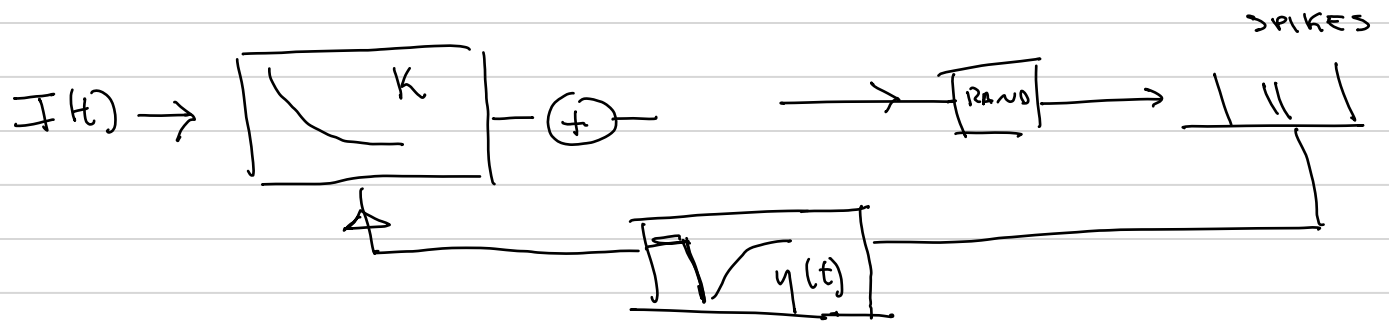
Some differences from I+F... (1) ALLOW ...

post-spike effects to build up across several spikes.

(2)  $\rightarrow$  Upper limit on  $K(s)$  is  $\infty$ ... allow stimulus history to impact voltage across several spikes.

Bugs or FEATURES? Depends on how orig. gating variables evolve!

N.B. 6.4.1 of Gerstner text: Can incorporate time-dep. threshold into  $\eta$ : shift voltage relative to fixed  $V_{th}$ .



For SRM,  $\Theta = \{k(s), \eta(s)\}$ .

Discrete time formula: <sup>(10.2.1, Lecture)</sup>

Time bins  $dt$

Limit  $k(s), \eta(s)$  to be sampled over  $J \cdot dt$  time units.

$$\vec{\Theta} = \{k(dt), \dots, k(J \cdot dt), \eta(dt), \dots, \eta(J \cdot dt)\}$$

Defining...

$$\vec{x}_t = (I_{t-dt \cdot dt}, \dots, I_{t-J \cdot dt \cdot dt}, n_{t-dt}, \dots, n_{t-J \cdot dt})$$

↑ 0 or 1 spike count

$$p(t) = f(\vec{x}_t \cdot \vec{\Theta}) \quad \text{Notation: } \vec{\Theta} = \vec{k} \text{ in text}$$

$$p(t) = f(\vec{x}_t \cdot \vec{k})$$

Then...

$$\log p(D|\vec{\Theta}) = c + - \sum_t f(\vec{x}_t \cdot \vec{k}) \cdot dt + \sum_t n_t \log f(\vec{x}_t \cdot \vec{k})$$

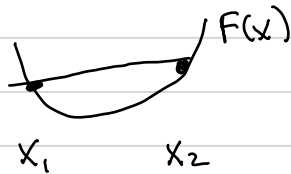
(10.32)



Aside: Convexity (Boyd + Vandenberghe, Convex Optimization)

Consider  $F(\vec{x})$ , a scalar-valued fcn.

$F$  is convex if ...  $F(\lambda \vec{x}_1 + (1-\lambda)\vec{x}_2) \leq \lambda F(\vec{x}_1) + (1-\lambda)F(\vec{x}_2)$   
for  $\lambda \in [0, 1]$



• Equivalent condition:  
 $F$  is convex IFF Hessian Matrix  $\left[ \frac{\partial^2 F}{\partial x_i \partial x_j} \right]$  is pos. def.

• Ex. -  $\text{Exp}(\vec{x})$  is convex.

-  $\vec{k} \cdot \vec{x} + c$  (linear - affine) is convex

• If  $F(x)$  is convex then so is  $F(\vec{k} \cdot \vec{x} + c)$

• Sum of convex functions is convex.

Consequence. If  $F$  convex,  
For unconstrained optimization problem  $\underset{x}{\text{argmin}} F(x)$ ,  
any point  $x_0$  s.t.  $\nabla F(x_0) = 0$  is global min.

→ Can find <sup>a</sup> global optimum, if exists, via grad. descent type methods.

$F$  is concave if  $-F$  is convex. Same as above...

if  $F$  is concave, ..... is global maximum.

$$\log p(\mathcal{D}|\vec{\theta}) = c + \underbrace{- \sum_t f(\vec{x}_t \cdot \vec{k}) \cdot dt}_{\text{Concave}} + \sum_t n_t \underbrace{\log f(\vec{x}_t \cdot \vec{k})}_{\text{Concave + Convex (linear)}}$$

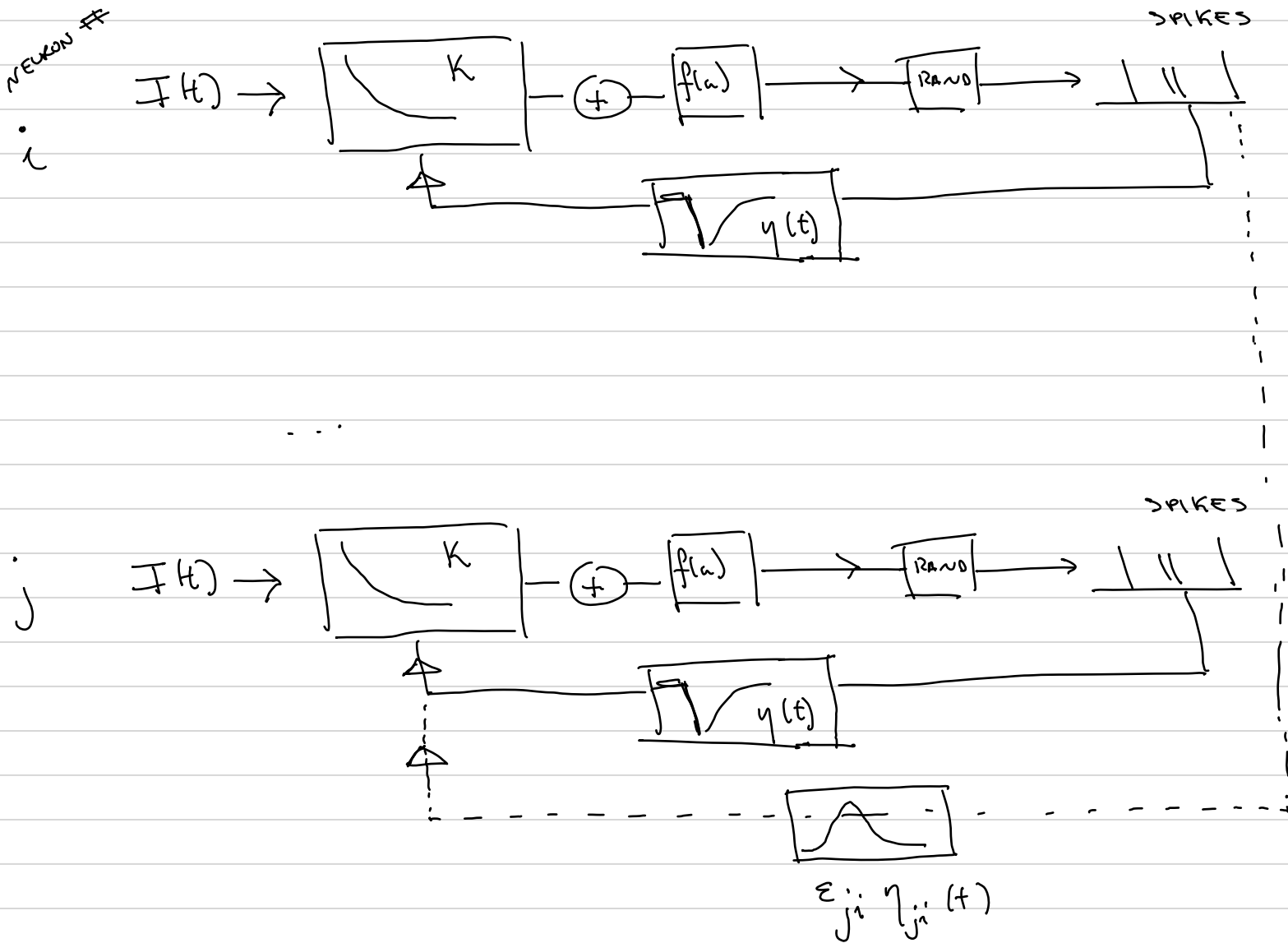
↳ Say  $f(x) = \exp(x)$ .  
Then...

or...  $f(x)$  concave + log convex (same answer).

→  $\log p(\mathcal{D}|\vec{\theta})$  is Concave in  $\vec{k}$ .

AND... easily computable gradient + Hessian → standard algorithms for minimization eg. Newton - or Newton - Raphson.

Allowing more neural interactions...



Redefine  $\vec{X}_i = (\vec{I}, \vec{u}_1, \dots, \vec{u}_n)$

↑  
neuron 1

$$\vec{\theta}_j = (\vec{K}_j, \vec{\eta}_j, \epsilon_{j1} \vec{\eta}_{j1}, \epsilon_{j2} \vec{\eta}_{j2}, \dots)$$

AND... problem STAYS CONCAVE

NOAH! Lots of parameters. Protect against overfitting ...  
 (1) Re-introduce prior; to enforce "smooth" filters or other CONSTRAINTS

$$\log [p(\vec{\theta} | D) p(\vec{\theta})] = \underbrace{\log p(\vec{\theta} | D)}_{\text{concave}} + \underbrace{\log p(\vec{\theta})}_{\substack{\text{if this is} \\ \text{concave} \dots \\ \text{whole problem stays} \\ \text{concave.}}}$$

(2) Allow for parameterized form of filters, eg via Basis Functions.

[Shiue / Witten / Shojie; Pillow et al 2008] e.g.,

$$k(s) \rightarrow \sum_{j=1}^B \tau_j \beta_j(s) \quad \text{for } B \text{ basis vectors, } B \ll J$$

$$\vec{x}_t \rightarrow \left( \int I(t-s) \beta_{11}(s) ds, \dots, \int I(t-s) \beta_{1B}(s) ds, \dots \right)$$

$$\vec{k} \rightarrow (\tau_1, \dots, \tau_B, \dots)$$

and likewise for "y" spike interaction filters.

SAME form of  $p(\vec{\theta} | D) \rightarrow$  stays concave!

## CONNECTIONS TO RECEPTIVE FIELD MODELS: (11.2.1, Text)

- Allow stimulus to have spatial component:  $I(t) \rightarrow I(x, y, t)$   
+ filters  $K(s) \rightarrow K(x, y, s)$

- (often) : give complex spatiotemporal gaussian noise  $I$  to fit filters
- The resulting  $K(x, y, s)$  is est. of receptive field...

Paninski et al 2003. Compare with "LN" models

$$p(t) = N \left( L(x, y, s) \cdot I(x, y, s) \right)$$

↑  
fit by "SPIKE TRIGGERED AVERAGE."

Result:

$K(x, y, s)$  is equivalent to a "weighted" spike triggered average, with  $N = f$ .  
⋮  
via  $f'(\cdot)$

- So... can be similarly used to discover receptive fields.

• CONNECTION TO RIELE LECTURE :

• Identify receptive fields for retinal ganglion cells.

• Q: Get better (more predictive on "test" data) fit for an additional nonlinear stage of processing...

$$I(x, y, s) \rightarrow N^{\text{upstream}}(I(x, y, s))$$

- $N^{\text{upstream}}$  was postulated: can it be fit?

Freeman + Simenelli - Variational approach

OR, fit FFW cascade of GLM models?  
SRM

DECODING (Ch. 11.3; Text). From spikes to stimuli.

- Fit  $\vec{k}$  on "test" set of data  $\vec{X}$ .
- For held-out "test" data  $\vec{X}$ , consider

$$p(D | \{\vec{I}, n\}, \vec{k}) \quad \text{as function of } \vec{I}.$$



By exact same arguments as above, this is concave function of  $\vec{I}$ .

So... do Max-Likelihood DECODING / PREDICTION of  $\vec{I}$ .

(Fig. 11.12, Text).